

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Galligan, et al.
Serial No.: Not yet assigned
Date Filed: Herewith
Title: COATED METAL SUBSTRATE
Art Unit: Not yet assigned
Examiner: Not yet assigned

January 14, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

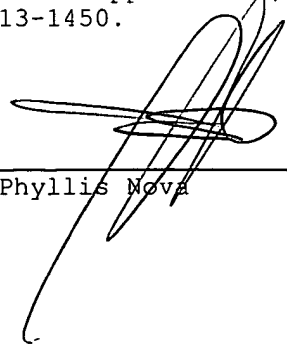
1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed.

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee", Mailing Label Number EV328145754US, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: January 14, 2004



Phyllis Nova

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- (c) ☐ Abstracts by recognized library services.

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☒ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070 for consideration of this Statement.
- (c) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance (37 C.F.R. 1.97(c)).
 - (1) ☐ The required certification is given below, or
 - (2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070.
- (d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - (1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i) (1), or

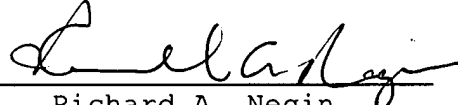
- (2) _____ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 05-1070.

4. Certification (if applicable)

- (a) _____ The undersigned hereby certifies that each item of information contained in a communication from a foreign patent office was cited by the foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) _____ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 05-1070.

Respectfully Submitted,

By 
Richard A. Negin
Reg. No. 28,649

ENGELHARD CORPORATION
101 Wood Avenue-P.O. Box 770
Iselin, New Jersey 08830-0770
(732) 205-6241

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY DOCKET NO. 4882	SERIAL NO. Not yet assigned
	APPLICANT: Galligan, et al.	
	FILING DATE: Herewith	GROUP: Not yet assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Filing Date if Appropriate
	2003/0165414 A1	09/04/2003	Galligan, et al.	423/213.2	02/28/2003
	2002/0132730 A1	09/19/2002	Hwang, et al.	502/212	
	6,559,094 B1	05/06/2003	Korotkikh, et al.	502/326	
	6,214,303 B1	04/10/2001	Hoke, et al.	423/210	
	5,204,302	04/20/1993	Gorynin, et al.	502/2	
	4,277,374	07/07/1981	Lula, et al.	252/446	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document number	Publication Date	Country or Patent Office	Class	Translation	
					Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered
----------	-----------------

EXAMINER: Initial if ref renc consid red, whether or n t citati n is in conformanc with MPEP 609. Draw line through citation if not in c nformanc and not c nsidered. Include copy of this f rm with next c mmunication to Applicant.

INFORMATION DISCLOSURE STATEMENT PTO-1449 (M dified)